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CONFIRMATION NO. 7085

<b>SERIAL NUMBER</b> 10/686,462	<b>FILING OR 371(c) DATE</b> 10/15/2003 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 3694	<b>ATTORNEY DOCKET NO.</b> 124256.0401
<b>APPLICANTS</b> Steven Kirk Donoho, Chantilly, VA; Patrick Kiplinger Hyde, Falls Church, VA; Nimish Sheth, Falls Church, VA; Anil Sessa Kumar Venuturupalli, Fairfax, VA;				
<b>** CONTINUING DATA *****</b> This appln claims benefit of 60/453,112 03/07/2003				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 01/15/2004</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____		<b>STATE OR COUNTRY</b> VA	<b>SHEETS DRAWING</b> 38	<b>TOTAL CLAIMS</b> 41
				<b>INDEPENDENT CLAIMS</b> 3
<b>ADDRESS</b> 21269				
<b>TITLE</b> Method and system for the protection of broker and investor relationships, accounts and transactions				
<b>FILING FEE RECEIVED</b> 1148	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	